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PTO/SB/08A (10-96)

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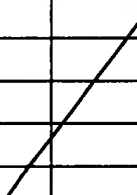
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			<i>Complete if Known</i>		
			Application Number	New Application	
			Filing Date	Herewith	
			First Named Inventor	Dimitri E. Nikonov	
			Group Art Unit	Not yet assigned	
Examiner Name	Not yet assigned				
Attorney Docket Number	42390.P10601				
Sheet	1	of	1		

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U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
bn	6,031,659	Okiyama	2-29-2000	
bn	6,111,996	Thompson	8-29-2000	

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
bn		HATTORI, K. ET AL; Erbium-Doped Silica-Based Waveguide Amplifier Integrated with a 980/1530nm WDM Coupler; ELECTRONIC LETTERS; May 26, 1994; Vol. 30, No. 11	
bn		PARKER, MICHAEL C. ET AL.; Adaptive Chromatic Dispersion Controller Based on an Electro-Optically Chirped Arrayed-Waveguide Grating; Colchester, Essex, CO1 1HH, UK	
bn		SHMULOVICK, J. ET AL; Integrated Planar Waveguide Amplifier With 15dB net gain at 1550 nm; Lucent Technologies, Murray Hill, NJ	
bn		GHOSH, RUBY N. ET AL; 8-mW Threshold Er3+-Doped Planar Waveguide Amplifier; IEEE 1996	
bn		SHMULOVICH, J. ET AL; Erbium-Doped Planar Waveguide Amplifiers Integrated with Silica Waveguide Technology; Lucent Technologies; Murray Hill, NJ	

Examiner Signature		Date Considered	2/2003
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